

PATENT
Attorney Docket No.: 28069-551BCON
(Formerly: 49458/60049 CON)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Nils Ove Gustavsson *et al.* Art Unit: 1615
Serial No. : 10/705,204 Examiner: Azpuru, Carlos A.
Filed : November 10, 2003 Confirmation No.: 2934
For : **Microparticles**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**REVOCATION OF POWER OF ATTORNEY BY ASSIGNEE, NEW POWER OF
ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS**

Dear Sir:

As Assignee of record of the entire interest of the above-identified patent application, (which is a continuing application of application U.S. Serial No. 09/970,793, filed on October 5, 2001), any and all powers of attorney previously given are hereby revoked and new power of attorney is appointed to the Practitioners associated with (i) Customer Number **35437**, all of MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND POPEO, P.C., Chrysler Center, 666 Third Avenue, 24th Floor, New York, New York 10017 and (ii) Customer Number **30623**, all of MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND POPEO, P.C., One Financial Center, Boston, Massachusetts 02111, as Applicants' attorneys and/or representatives with full power of substitution and revocation to take any and all action necessary and to conduct all business in the United States Patent and Trademark Office, and in any corresponding Patent Office during prosecution under the Patent Cooperation Treaty, with regard to the above-identified patent application.

Please change the correspondence address for this application to the address associated with Customer Number **35437**, namely:

SEND CORRESPONDENCE TO:

Daniel F. Coughlin, Ph.D., Esq.
Mintz, Levin, Cohn, Ferris, Glovsky
and Popeo, P.C.
Chrysler Center
666 Third Avenue
New York, New York 10017

Telephone: (212) 935-3000
Facsimile: (212) 983-3115

DIRECT TELEPHONE CALLS TO:

Daniel F. Coughlin/Leslie A. Serunian
(212) 935-3000

Express Mail Label No.: EV 591066762 US

Applicants: Nils Ove Gustavsson, *et al.*
Serial No.: 10/705,204
Filed: November 10, 2003
Page -2-

Docket No.: **28069-551B CON**
(Formerly: 49458/60049 CON)

Jagotec AG certifies under 37 C.F.R. § 3.73(b) that it is the Assignee of the entire right, title and interest in the patent application identified above by virtue of assignment of the patent application identified above. Jagotec AG is duly empowered as Assignee by virtue of the following documentary evidence, copies of which are enclosed herewith:


1. Assignment from inventors to **Bioglan AB**, recorded on January 3, 2002, at Reel 012422, Frame 0477, in 3 pages in parent application U.S. Serial No. 09/970,793, filed on October 5, 2001; and
2. Assignment from **Bioglan AB** to **Jagotec AG**, recorded on February 27, 2003, at Reel 013793, Frame 0945, in 3 pages in parent application U.S. Serial No. 09/970,793, filed on October 5, 2001.

The undersigned is/are empowered to act on behalf of Jagotec AG as Assignee. Acting on behalf of the Assignee, the undersigned has/have reviewed all of the documents in the chain of title of the above-identified patent application and, to the best of my/our knowledge and belief, title is in the Assignee identified above.

The undersigned, hereby declare(s) that all statements made herein of my/our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the patent issued thereon.

Respectfully submitted,

Dated: 13 January 2005

By: 

Typed Name: John SIMMONS

Title: Director

Company: Jagotec AG

Dated: 13 January 2005

By: 

Typed Name: Francesco PATALANO

Title: Director

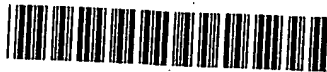
Company: Jagotec AG

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-09-2002

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

ET



101938478

Attorney's Docket No. 003300-831

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

NILS OVE GUSTAVSSON, MONICA JÖNSSON,
TIMO LAAKSO, MATS RESLOW

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: October 15 and 17, 2001

2. Name and address of receiving party(ies):

Name: BIOGLAN AB

Address: P.O. Box 50310

202 13 Malmö

SWEDEN

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/970,793

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Benton S. Duffett, Jr.

Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404

Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR § 3.41): \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Benton S. Duffett, Jr.
Name of Person Signing

Benton S. Duffett Jr.
Signature

January 3, 2002
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

01/08/2002 TDIAZ1 00000020 09970793

01 FC:581

40.00 OP

ASSIGNMENT

(JOINT)

THIS ASSIGNMENT, by NILS OVE GUSTAVSSON, MONICA JÖNSSON, TIMO LAAKSO, and MATS RESLOW, residing at Löddeköpinge, Sweden; Bara, Sweden; Campton, Bedfordshire, Great Britain; and Lund, Sweden (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in MICROPARTICLES set forth in an application for Letters Patent of the United States,

- (1) ☐ which is a provisional application
- (a) ☐ to be filed herewith; or
- (b) ☐ bearing Application No. _____, and filed on _____; or
- (2) ☒ which is a non-provisional application
- (a) ☐ having an oath or declaration executed on even date herewith prior to filing of application;
- (b) ☒ bearing Application No. 09/970,793, and filed on OCTOBER 5, 2001; or
- (c) ☐ to be filed; and

WHEREAS, BIOGLAN AB, a corporation duly organized under and pursuant to the laws of Sweden and having its principal place of business at P.O. Box 50310, 202 13 Malmö, Sweden (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

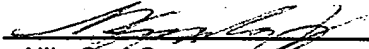
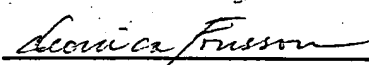
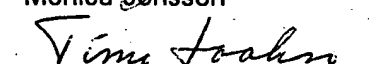
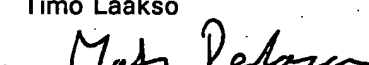
NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

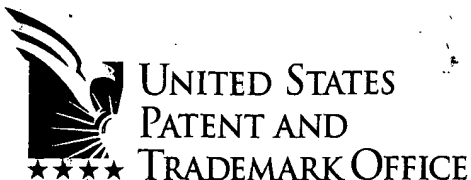
AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of BURNS, DOANE, SWECKER & MATHIS, L.L.P. of Alexandria, Virginia to insert in the spaces provided above the filing date, application number, and attorney docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date <u>2001-10-17</u>	Signature of Assignor	<u></u> Nils Ove Gustavsson
Date <u>2001-10-15</u>	Signature of Assignor	<u></u> Monica Jönsson
Date <u>2001-10-17</u>	Signature of Assignor	<u></u> Timo Laakso
Date <u>2001-10-17</u>	Signature of Assignor	<u></u> Mats Reslow



Express Mail Label No.: EV 591066762US

JULY 14, 2003

PTAS

BURNS, DOANE, SWECKER & MATHIS, LLP.
BENTON S. DUFFETT, JR.
PO BOX 1404
ALEXANDRIA, VA 22313-1404

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



102379604A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/27/2003

REEL/FRAME: 013793/0945
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BIOGLAN AB

DOC DATE: 05/13/2002

ASSIGNEE:

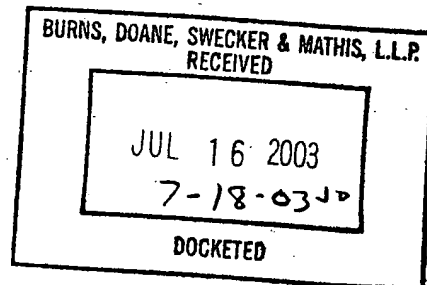
JAGOTEC AG
EPTINGERSTRASSE 51
CH-4132 MUTTENZ, SWITZERLAND

SERIAL NUMBER: 09970793
PATENT NUMBER:

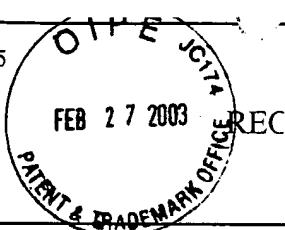
FILING DATE: 10/05/2001
ISSUE DATE:

VIOLET MCCOY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Amended
003300-831
BSD/DHY



JUL 22 2003



03-04-2003

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



102379604

Attorney's Docket No. 003300-831

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

BIOGLAN AB

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: May 13, 2002

2. Name and address of receiving party(ies):

Name: Jagotec AG

Address: Eptingerstrasse 51

CH-4132 Muttentz

Switzerland

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/970,793

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Benton S. Duffett, Jr.

Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404

Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR § 3.41): \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Benton S. Duffett, Jr.
Name of Person Signing

Benton S. Duffett Jr.
Signature

February 27, 2003
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

03/03/2003 DBYRNE 00000099 09970793

01 FC:8021

40.00 OP

ASSIGNMENT

WHEREAS, BIOGLAN AB, a corporation of Sweden, is the owner by means of an Assignment recorded in the United States Patent and Trademark Office on January 1, 2002 at Reel 012422, Frame 0477 of the entire right, title and interest for the territory of the United States of America in and to the invention of United States Patent Application No. 09/970,793, filed October 5, 2001 entitled "MICROPARTICLES" by Nils Ove Gustavsson, Monica Jönsson, Timo Laakso, and Mats Reslow preparatory to obtaining Letters Patent of the United States; and

WHEREAS, Jagotec AG, a Swiss company having a postal address at Eptingerstrasse 51, CH-4132 Muttenz, Switzerland, is desirous of acquiring the entire right, title and interest in and to the said invention for the territory of the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (1.00) paid by Jagotec AB to BIOGLAN AB and other good and valuable consideration, the receipt of which is hereby acknowledged, BIOGLAN AB effective May 13, 2002 has sold, assigned and transferred and by these presents does hereby assign and transfer unto the said Jagotec AB the entire right, title and interest in and to the said invention for the territory of the United States of America including United States Patent Application No. 09/970,793, filed October 5, 2001, and any Letters Patent, divisions, continuations, reissues, and extensions thereof, all said rights to be held and enjoyed by Jagotec AB for its own use and enjoyment and for the use and enjoyment of its successors and assigns to the full end of the term or

terms for which Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by BIOGLAN AB if this assignment, transfer and sale not be made.

BIOGLAN AB

Date: 13th of May, 2002

By:

Totte Malmstrom
Name: ☒ TOTTE MALMSTROM
Title: ☒ MAN. DIR.

RECORDATION FORM COVER SHEET
PATENTS ONLY

Attorney's Docket No. 003300-831

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

BIOGLAN AB

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: May 13, 2002

2. Name and address of receiving party(ies):

Name: Jagotec AG

Address: Eptingerstrasse 51

CH-4132 Muttenz

Switzerland

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/970,793

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Benton S. Duffett, Jr.

Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404

Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR § 3.41): \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Benton S. Duffett, Jr.
Name of Person Signing

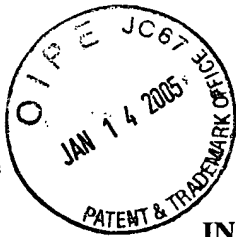
Benton S. Duffett Jr.
Signature

February 27, 2003
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231



01-18-05

ifw

PATENT

Attorney Docket No.: 28069-551BCON
(Formerly: 49458/60049 CON)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: : Nils Ove Gustavsson *et al.* Art Unit: 1615
Serial No. : 10/705,204 Examiner: Azpuru, Carlos A.
Filed : November 10, 2003 Confirmation No.: 2934
For : **Microparticles**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL TRANSMITTAL

Date of Deposit: January 14, 2005

I hereby certify that the documents and/or fee(s) listed below are being deposited with the United States Patent and Trademark Office as Express Mail bearing Express Mail Label No. **EV 591066762 US** under 37 C.F.R. §1.10 in an envelope with prepaid postage on the date indicated above and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

1. Revocation of Power of Attorney By Assignee, New Power of Attorney and Change of Correspondence Address [2 pgs.];
2. Express Mail Transmittal [1 pg.]; and
3. Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (212) 935-3000, New York, New York. The Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. **50-0311**, Reference No. **28069-551B CON**, Customer No. **35437**.

Signature: Leslie A. Serunian

Printed Name: Leslie A. Serunian

Dated: January 14, 2005

Leslie A. Serunian

Leslie A. Serunian, Reg. No. 35,353
MINTZ LEVIN COHEN FERRIS
GLOVSKY and POPEO, P.C.
The Chrysler Center
666 Third Avenue, 24th Floor
New York, NY 10017
Telephone: (212) 935-3000
Facsimile: (212) 983-3115